SEC Form 4

FORM 4

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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

1		
	OMB Number:	3235-0287
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	hours per response:	0.5

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to Section 16. F obligations may Instruction 1(b).			Filed p		nt to Section 16(a) o ction 30(h) of the In					34	11		ated average burc per response:	len 0.5
1. Name and Address of Reporting Person* Fortin Michael E					2. Issuer Name and Ticker or Trading Symbol <u>NATURAL ALTERNATIVES</u> <u>INTERNATIONAL INC</u> [NAII]						ationship of Re k all applicable Director Officer (give below)	e)	ng Person(s) to I 10% C Other below)	wner (specify
(Last) (First) (Middle) 1535 FARADAY AVENUE					e of Earliest Transa /2020	Day/Year)		Chief	Finar	ncial Officer				
(Street) CARLSBAD	<u> </u>	02008	[4. If A	mendment, Date of	⁻ Origina	l Filec	l (Month/Day/Y	(ear)	6. Indi Line) X			o Filing (Check A	
CARLSBAD CA 92008 (City) (State) (Zip)												-	re than One Rep	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned														
1. Title of Security (Instr. 3) 2. Transa Date (Month/D				Execution Date, Transaction Disposed Of (D) (Instr. 3					5. Amount of Securities Beneficially Owned Follow		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price	Reported Transaction(s (Instr. 3 and 4			(Instr. 4)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned												
Common Stock								185	I			
Common Stock	04/01/2020		A ⁽¹⁾		15,000 ⁽²⁾	A	\$ <mark>0</mark>	72,072	D			

(e.g., puts, calls, warrants, options, convertible securities)

								-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction of Code (Instr. Deri 8) Sect Acq (A) (c Disp of (I			r osed) :. 3, 4	Expiration Da	6. Date Exercisable and Expiration Date (Month/Day/Year) Derivative Security (Inst 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. This transaction represents a grant of restricted stock pursuant to the Issuer's 2019 Omnibus Incentive Plan.

2. One third (5,000 shares) of the restricted stock vests on March 7, 2021; one third (5,000 shares) of the restricted stock vests on March 7, 2022, and the final one third (5,000 shares) of the restricted stock vests on March 7, 2023.

<u>/s/ Michael E. Fortin</u>	<u>04/02/2020</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.