SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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By IRA

Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMEN	OMB Number: 3235-0287 Estimated average burden			
obligations may continue. See Instruction 1(b).	Filed	pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	Ļ	hours per response	:: 0.5
lame and Address of Reporting Person [*] ortin Michael E		2. Issuer Name and Ticker or Trading Symbol <u>NATURAL ALTERNATIVES</u> <u>INTERNATIONAL INC</u> [NAII]	5. Relationship of F (Check all applicab Director	le) 10 ⁰	to Issuer % Owner

Fortin Micha	<u>el E</u>			URAL ALT					k all applicable) Director Officer (give title below)	10% C Other below	(specify		
(Last) (First) (Middle) 1535 FARADAY AVENUE					e of Earliest Transa /2021	action (N	Month/	/Day/Year)		Chief Financial Officer			
(Street)			4	4. If An	mendment, Date of	f Origina	al Fileo	d (Month/Day/	'Year)	6. Indi Line)	vidual or Joint/Grou	p Filing (Check	Applicable
CARLSBAD	CA	92008							X	Form filed by One Reporting Person Form filed by More than One Reporting			
(City)	(State)	(Zip)									Person		Ű
	Tak	ole I - No	n-Derivativ	ve Se	ecurities Acq	uired,	Dis	posed of,	or Bei	neficially	/ Owned		
			2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
							v	Amount (A) or (D)		Price	Transaction(s) (Instr. 3 and 4)		(
Common Stock 03/08/2				21		F ⁽¹⁾		5,174	D	\$15.05	43,383	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned	
(e.g., puts, calls, warrants, options, convertible securities)	

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)			vative rities lired r osed) r. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		d 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Expiration Exercisable Date		Title	Amount or Number of Shares				

Explanation of Responses:

Common Stock

1. This transaction represents a resale of shares to the Issuer as payment of the reporting person's tax liability upon vesting of 10,001 shares of restricted stock on March 7, 2021, using the closing stock price on March 8, 2021 of \$15.05 per share.

/s/ Michael E. Fortin	<u>03/10/2021</u>				
** Signature of Reporting Person	Date				

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.